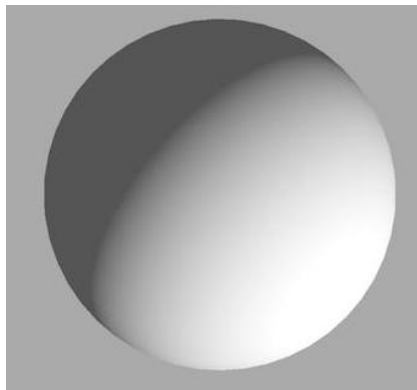


CSE4203: Computer Graphics  
Chapter – 10  
**Surface Shading**

Mohammad Imrul Jubair

# Shading

- To make objects appear to have more volume, it can help to use *shading*
  - i.e., the surface is “painted” with light.
- This chapter presents the most common heuristic shading methods.



# Outline

- Diffuse Shading
- Lambertian Model
- Phong Model

# Diffuse Shading (1/2)

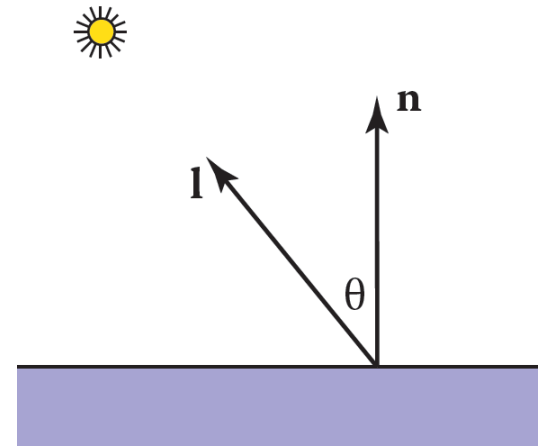
- Many objects in the world have a surface appearance loosely described as “matte,” indicating that the object is not at all shiny.
  - Examples include paper, unfinished wood, and dry, unpolished stones.
- To a large degree, such objects do not have a color change with a change in viewpoint.

# Diffuse Shading (2/2)

- For example, if you stare at a particular point on a piece of paper
  - move while keeping your gaze fixed on that point, the color at that point will stay relatively constant.
- Such matte objects can be considered as behaving as *Lambertian* objects.

# Lambertian Shading Model (1/10)

- A Lambertian object obeys *Lambert's cosine law*.
  - color  $c$  of a surface is proportional to the cosine of the angle between the surface normal ( $n$ ) and the direction to the light source ( $l$ ).

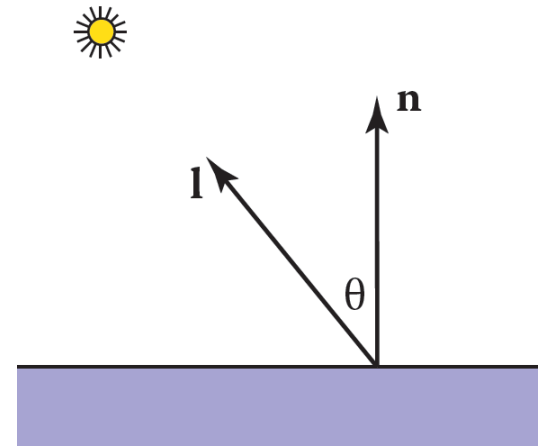


# Lambertian Shading Model (2/10)

$$c \propto \cos \theta,$$

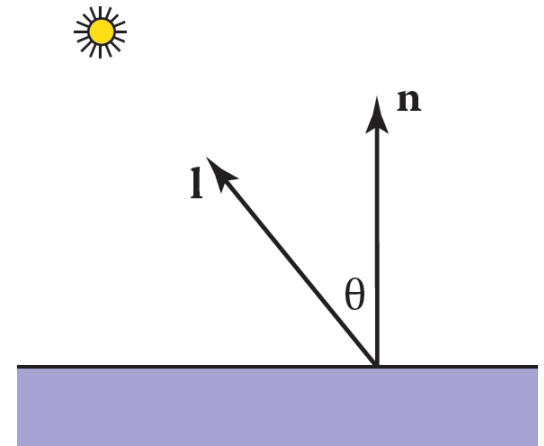
$$c \propto \mathbf{n} \cdot \mathbf{l},$$

Color on the surface will vary according to the cosine of the angle between the surface normal and the light direction



# Lambertian Shading Model (3/10)

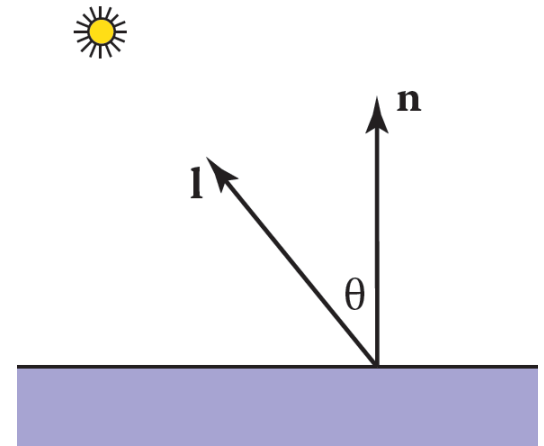
- Vector  $\mathbf{l}$  is typically assumed not to depend on the location of the object.
  - light is “distant”.
- Such a “distant” light is often called a *directional light*
  - because its position is specified only by a direction.





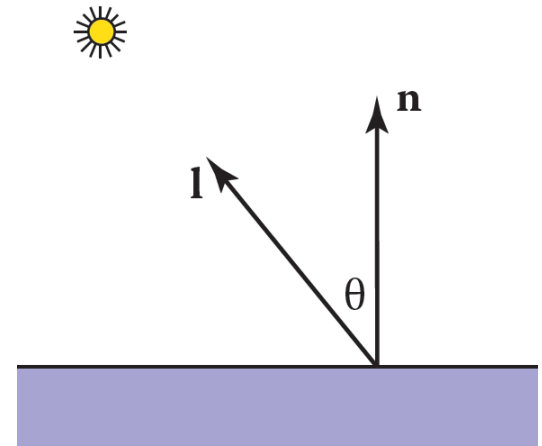
# Lambertian Shading Model (4/10)

- A surface can be made lighter or darker by changing the intensity of:
  - the reflectance of the surface.
  - light source



# Lambertian Shading Model (5/10)

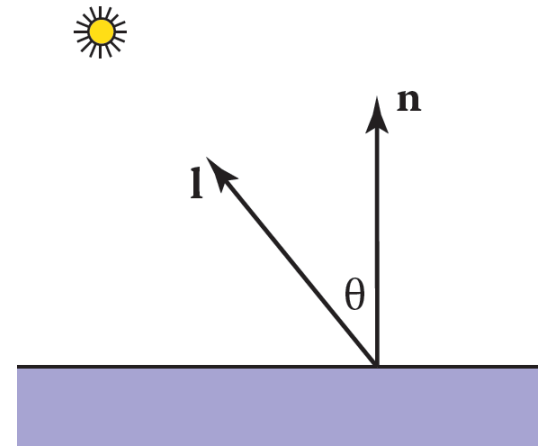
- Diffuse reflectance:
  - $c_r$  is the fraction of light reflected by the surface.
  - will be different for different color components.
    - For example, a surface is red if it reflects a higher fraction of red incident light.



# Lambertian Shading Model (6/10)

- Diffuse reflectance:
  - an RGB color
  - The diffuse reflectance  $c_r$  must also be included:

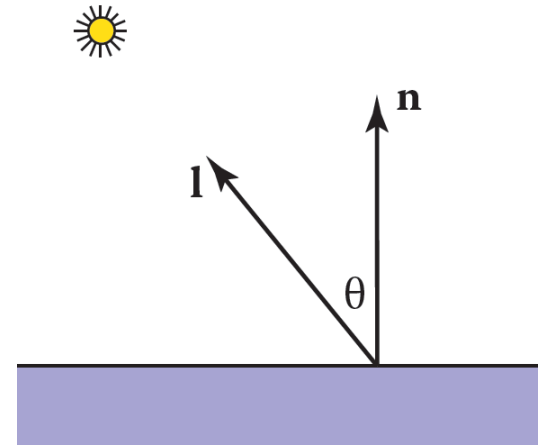
$$c \propto c_r \mathbf{n} \cdot \mathbf{l}.$$



# Lambertian Shading Model (7/10)

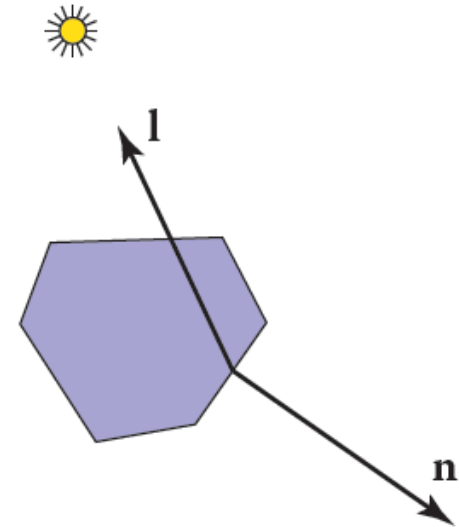
- Light intensity:
  - an RGB color

$$c = c_r c_l \mathbf{n} \cdot \mathbf{l}.$$



# Lambertian Shading Model (8/10)

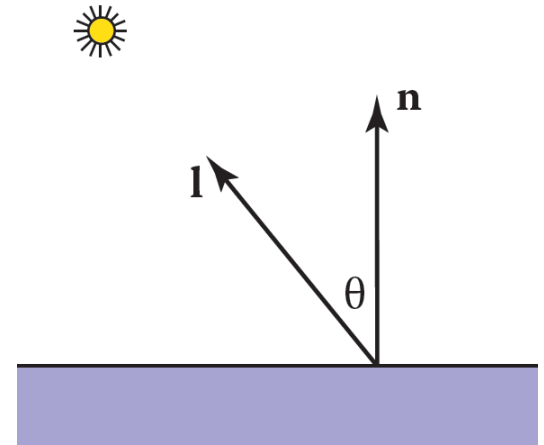
- Light intensity:
  - an RGB color
- it can produce RGB components for  $c$  that are outside the range  $[0, 1]$ 
  - because the dot product can be negative.



# Lambertian Shading Model (9/10)

- Light intensity:
  - an RGB color

$$c = c_r c_l \max(0, \mathbf{n} \cdot \mathbf{l})$$



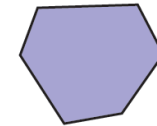
# Lambertian Shading Model (10/10)

- Another way to deal with the “negative” light is to use an absolute value:

$$c = c_r c_l |\mathbf{n} \cdot \mathbf{l}|$$



- may seem physically implausible
  - it actually corresponds with two lights in opposite directions.



$$c = c_r c_l \max(0, \mathbf{n} \cdot \mathbf{l})$$

- For this reason it is often called *two-sided* lighting.



# Disadvantages of Diffuse Shading (1/2)

- One problem with the diffuse shading:
  - any point whose normal faces away from the light will be black.
- In real life, light is reflected all over, and some light is incident from every direction.



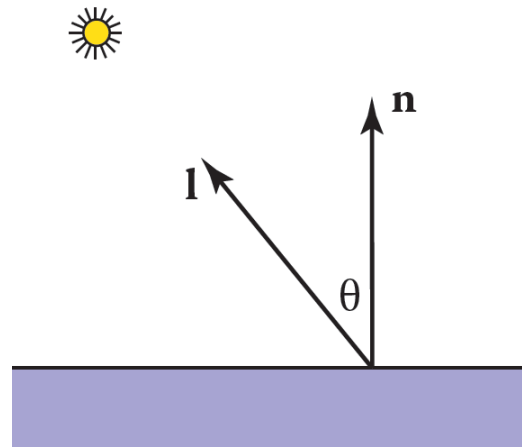
# Disadvantages of Diffuse Shading (2/2)

- One way to handle this:
  1. Use several light sources.
  2. Always put a dim source at the eye so that all visible points will receive some light.
  3. Use two-sided lighting

# Ambient Shading (1/2)

- A more common approach is to add an ambient term.

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l}))$$



# Ambient Shading (2/2)

- A more common approach is to add an ambient term.

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l}))$$

- If you want to ensure that the computed RGB color stays in the range  $[0, 1]^3$

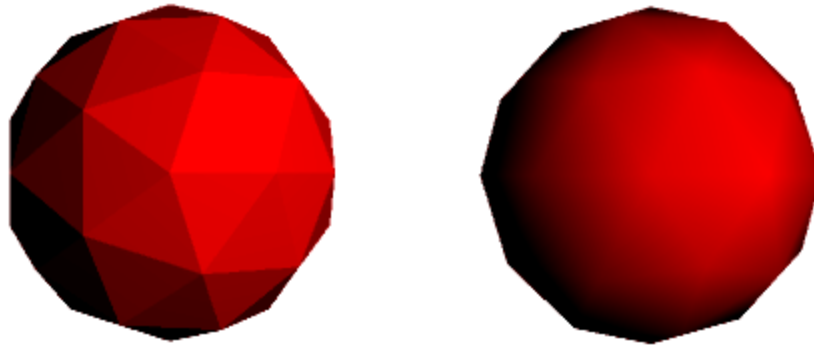
$$c_a + c_l \leq (1, 1, 1)$$

- Otherwise your code should “clamp” RGB values above one to have the value one.

# Vertex-Based Diffuse Shading (1/5)

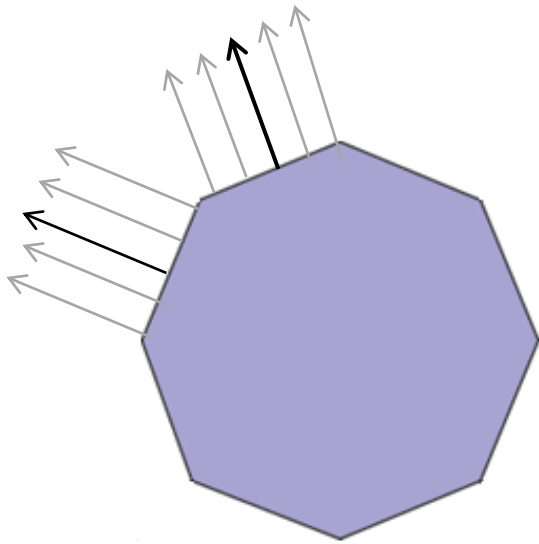
If we apply equation  $c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l}))$   
to an object made up of triangles:

- it will typically have a faceted appearance.



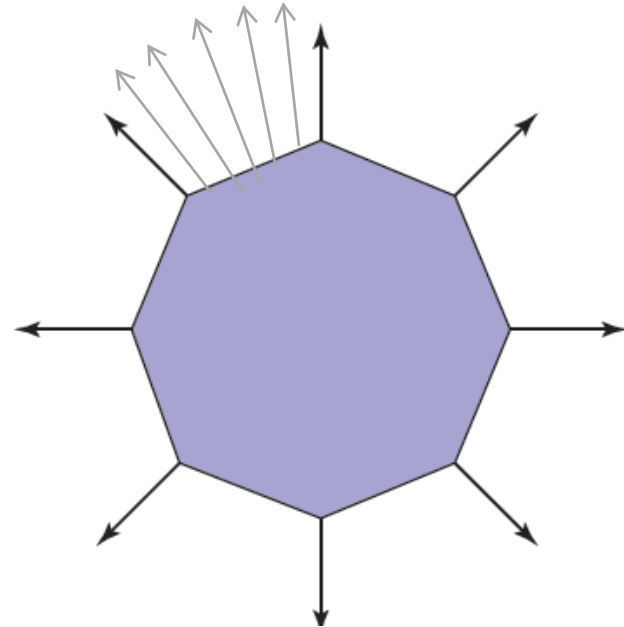
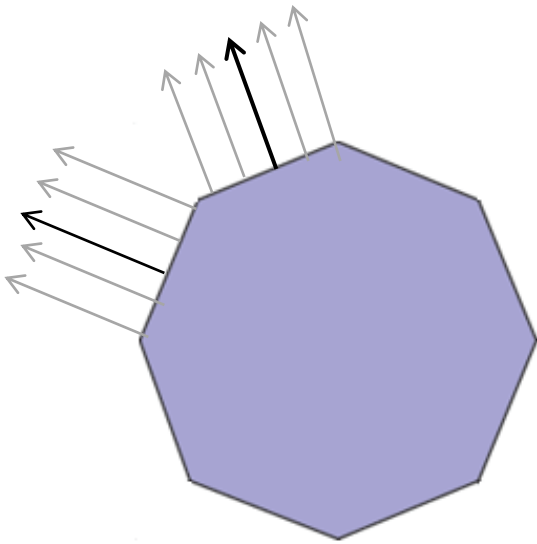
# Vertex-Based Diffuse Shading (2/5)

- Drastic changes of normals from surface to surface.



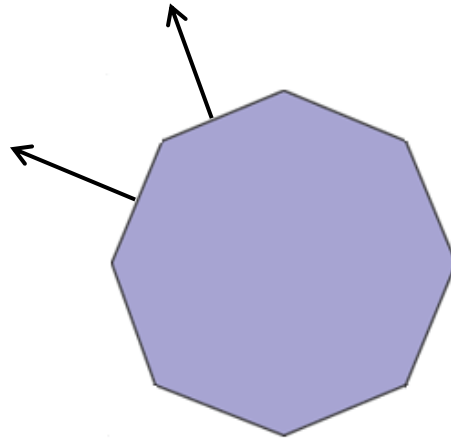
# Vertex-Based Diffuse Shading (3/5)

- We can place surface normal vectors at the vertices of the triangles and interpolate.



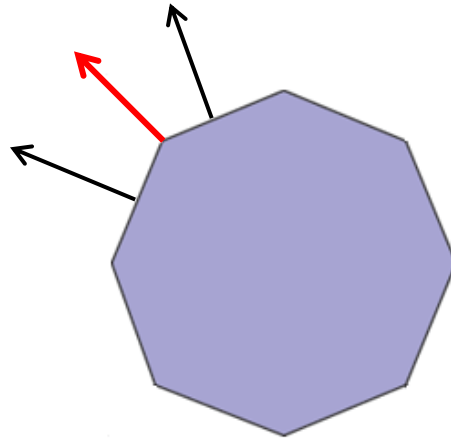
# Vertex-Based Diffuse Shading (4/5)

- Problem:
  - Many models will come with normals.
  - compute normals by a variety of heuristic methods.



# Vertex-Based Diffuse Shading (5/5)

- Solution:
  - average the normals of the triangles that share each vertex and use this average normal at the vertex.
  - should convert it to a unit vector before using it for shading.



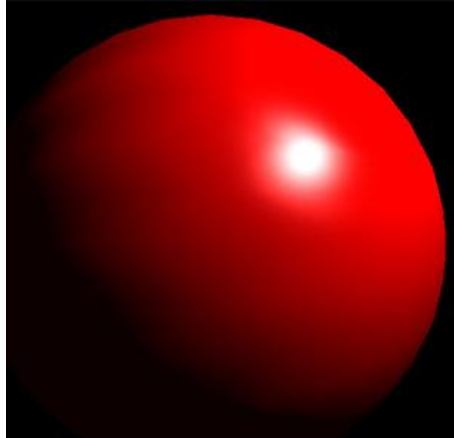


# Phong Shading (1/20)

- Some surfaces are essentially like matte surfaces, but they have *highlights*.
- Examples
  - surfaces include polished tile floors, gloss paint, and whiteboards.

# Phong Shading (2/20)

- ***Highlights*** move across a surface as the viewpoint moves.
  - *So, eye matters!*

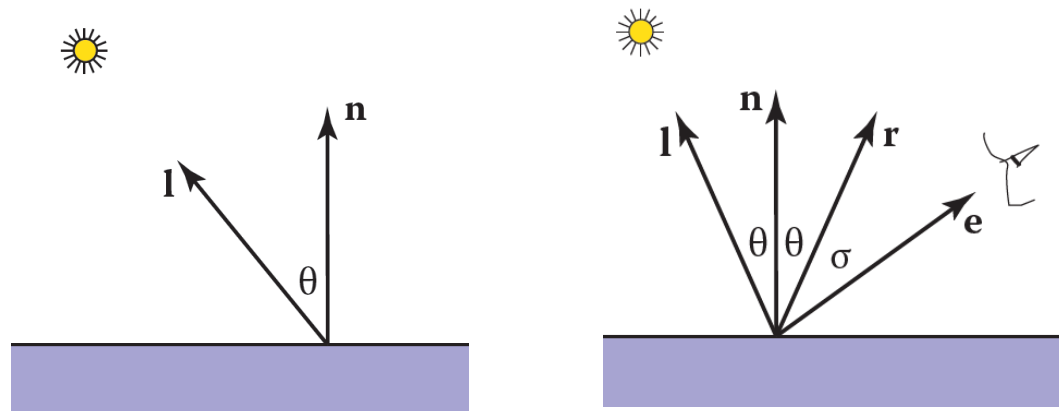


# Phong Shading (3/20)

- Some surfaces are essentially like matte surfaces, but they have highlights.

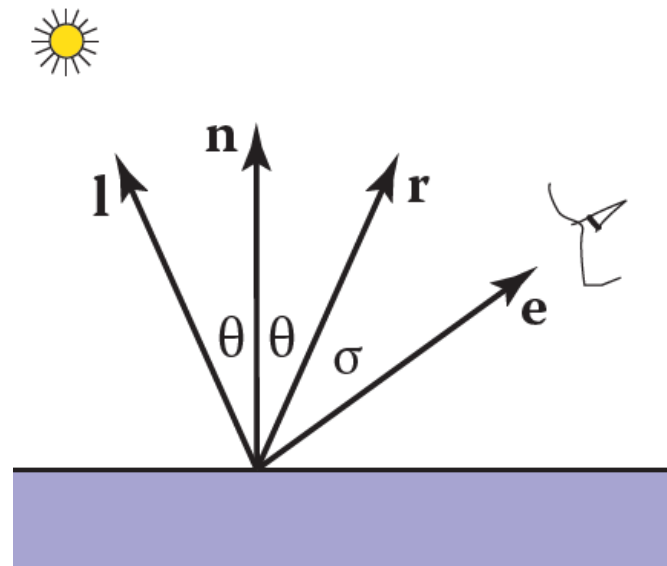
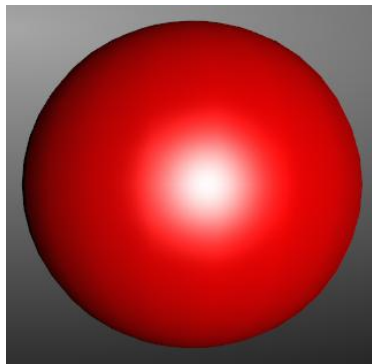
$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l}))$$

- This means that we must **add** a unit vector **e** toward the eye into our equations.



# Phong Shading (4/20)

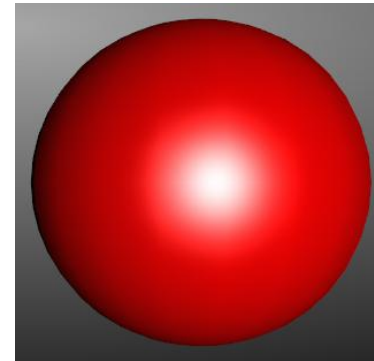
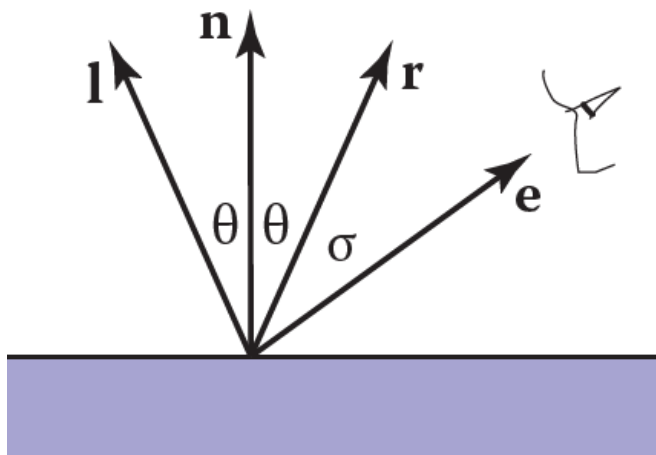
- We want to add a fuzzy “spot” the same color as the light source in the right place.
  - The center of the spot should be drawn where  $\mathbf{e}$  “lines” up with the natural direction of reflection  $\mathbf{r}$



# Phong Shading (5/20)

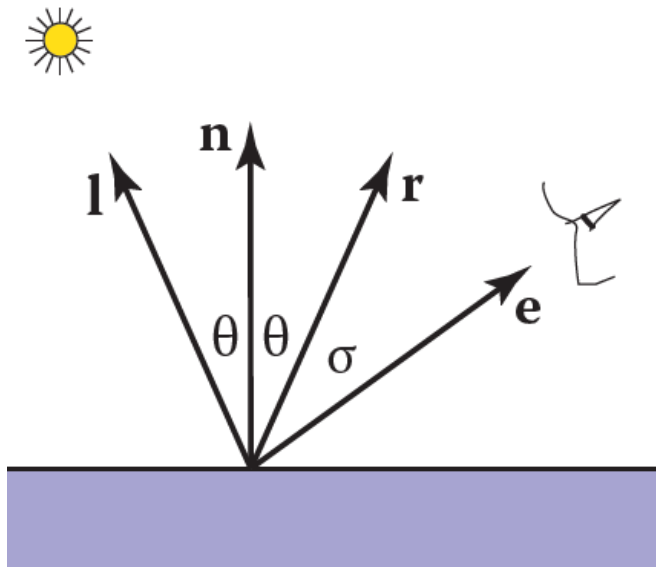
We would like to have the *highlight*

- so that the eye sees some *highlight* wherever  $\sigma$  is small.



# Phong Shading (6/20)

- $c$  is bright when  $\mathbf{e} = \mathbf{r}$  and falls off gradually when  $\mathbf{e}$  moves away from  $\mathbf{r}$ .



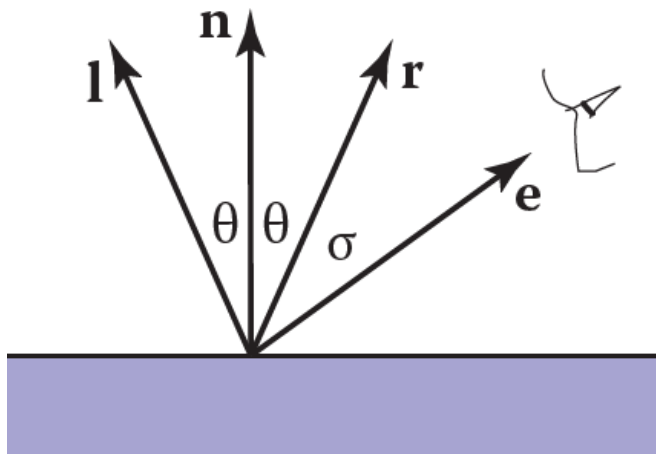
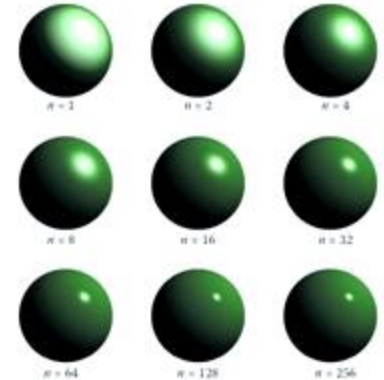
Highlight  $c$ :

$$c = c_l(\mathbf{e} \cdot \mathbf{r})$$

$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

# Phong Shading (7/20)

- Here  $p$  is called the *Phong exponent*; it is a positive real number.



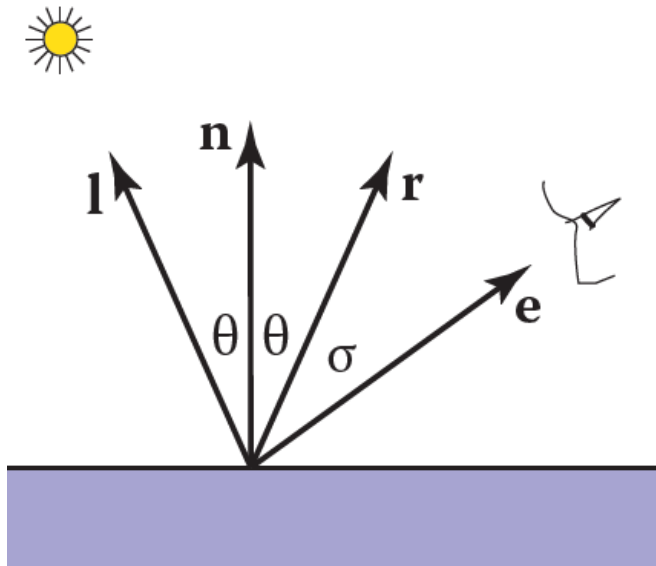
Highlight  $c$ :

$$c = c_l(\mathbf{e} \cdot \mathbf{r})$$

$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

# Phong Shading (8/20)

*Q: Which values are given?*



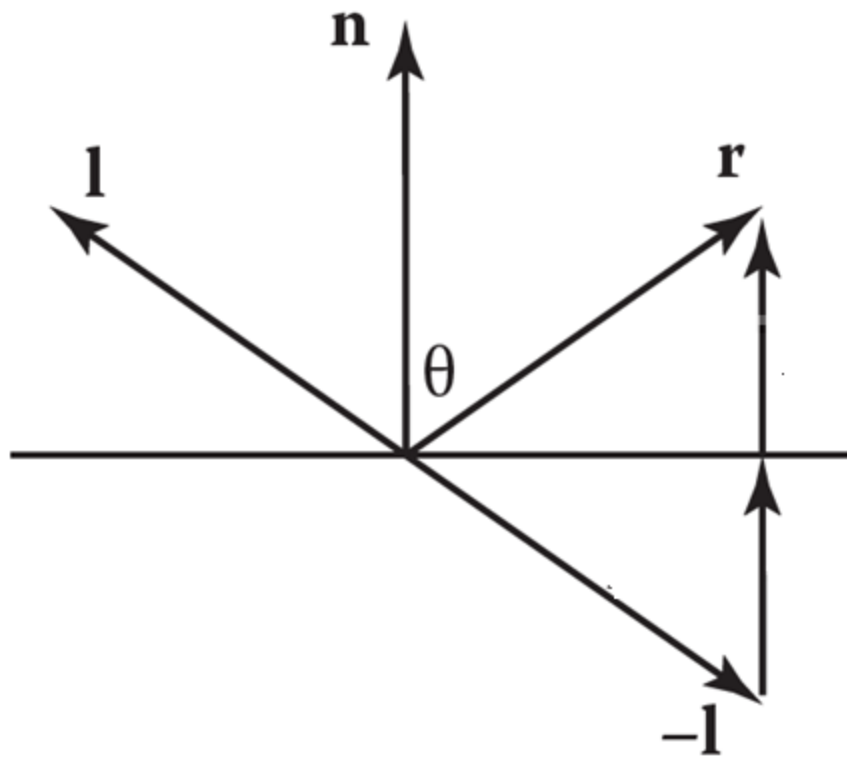
Highlight  $c$ :

$$c = c_l(\mathbf{e} \cdot \mathbf{r})$$

$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

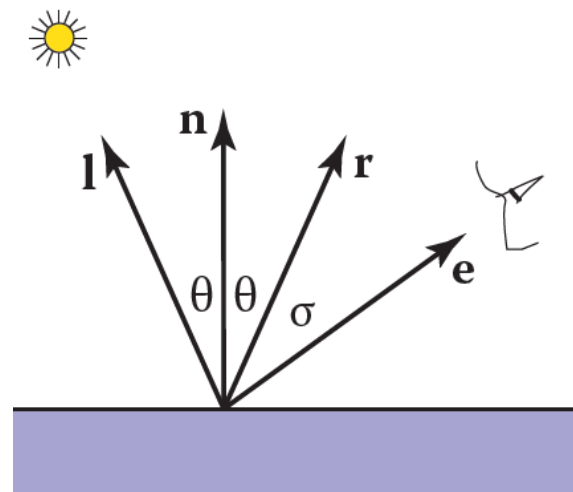


# Phong Shading (9/20)

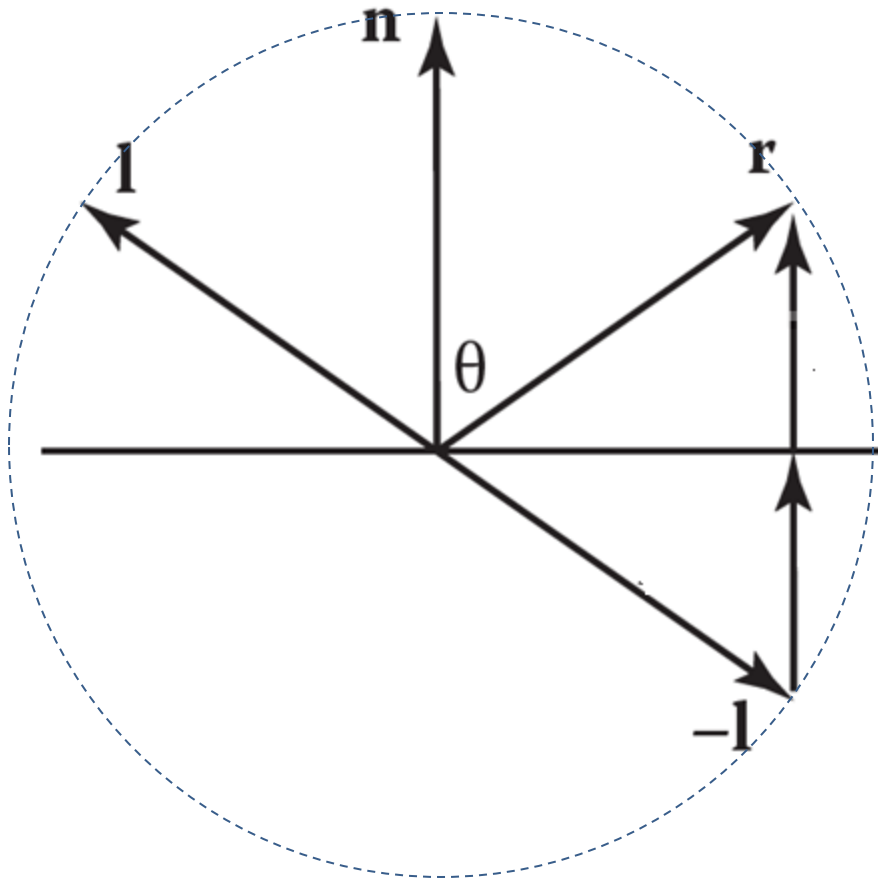


$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$

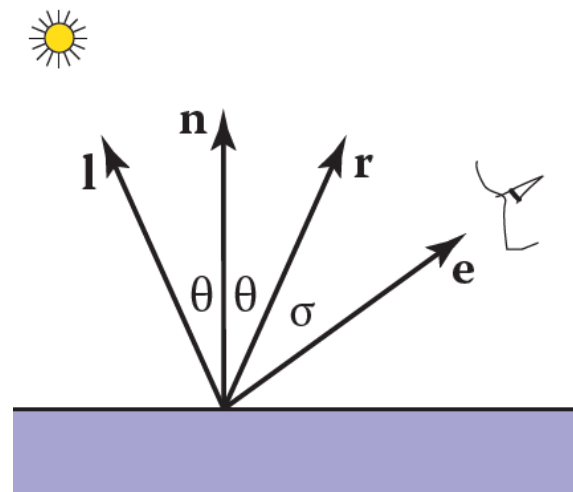


# Phong Shading (10/20)

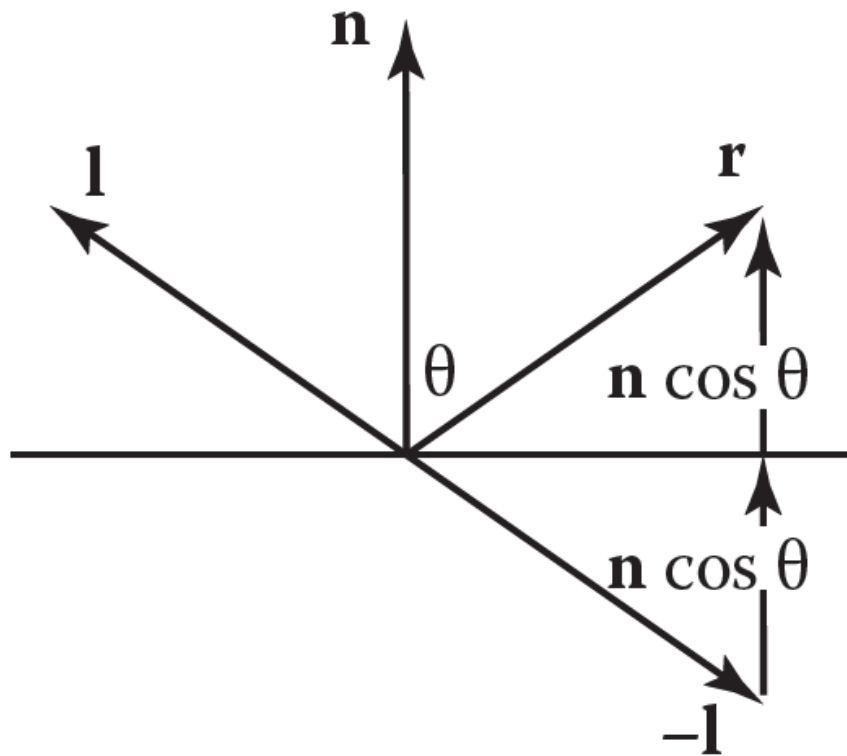


$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$

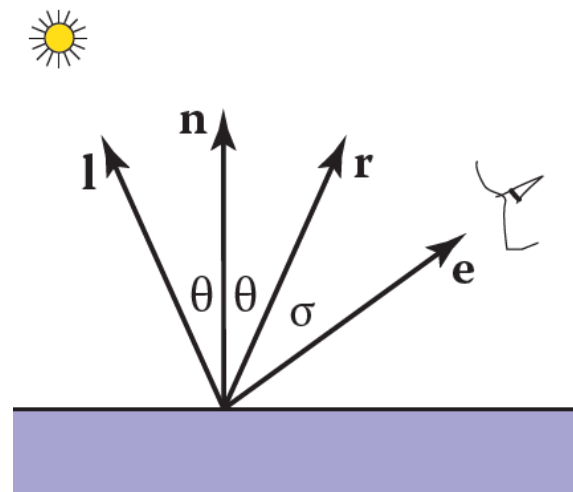


# Phong Shading (11/20)



$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$

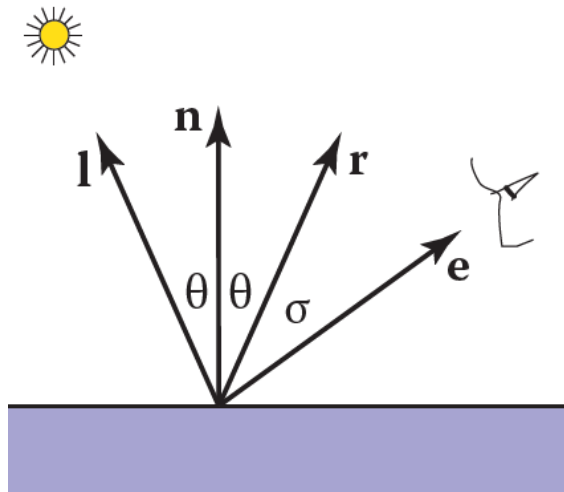


# Phong Shading (12/20)

- Therefore, basic approach for *highlight*:

$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$



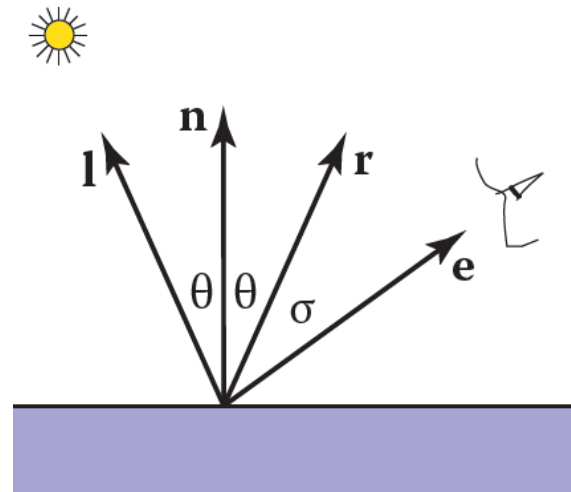
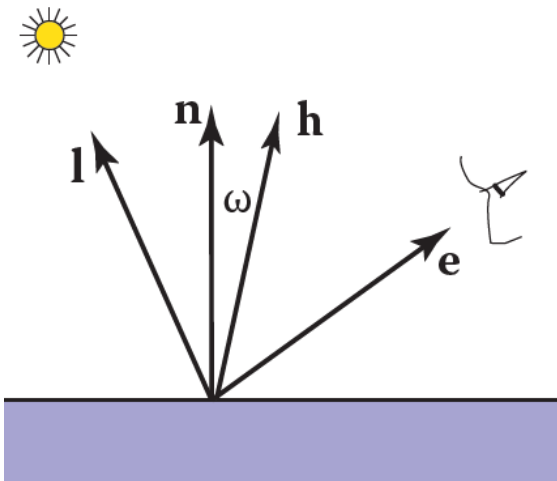
# Phong Shading (13/20)

## Alternative Approach:

[ $\mathbf{h}$  = unit vector halfway between  $\mathbf{l}$  and  $\mathbf{e}$ ]

- The highlight occurs when  $\mathbf{h}$  is near  $\mathbf{n}$ , i.e., when  $\cos(\omega) = \mathbf{h} \cdot \mathbf{n}$  is near  $\mathbf{1}$ 
  - Angle between  $\mathbf{n}$  and  $\mathbf{h}$  is near to zero

$$c = c_l (\mathbf{h} \cdot \mathbf{n})^p$$



# Phong Shading (14/20)

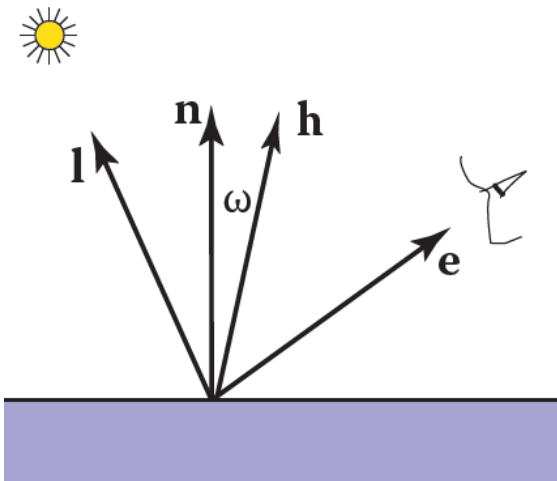
## Alternative Approach:

[ $\mathbf{h}$  = unit vector halfway between  $\mathbf{l}$  and  $\mathbf{e}$ ]

- The highlight occurs when  $\mathbf{h}$  is near  $\mathbf{n}$ , i.e., when  $\cos(\omega) = \mathbf{h} \cdot \mathbf{n}$  is near  $\mathbf{1}$ 
  - Angle between  $\mathbf{n}$  and  $\mathbf{h}$  is near to zero

$$c = c_l (\mathbf{h} \cdot \mathbf{n})^p$$

$$\mathbf{h} = \frac{\mathbf{e} + \mathbf{l}}{\|\mathbf{e} + \mathbf{l}\|}$$

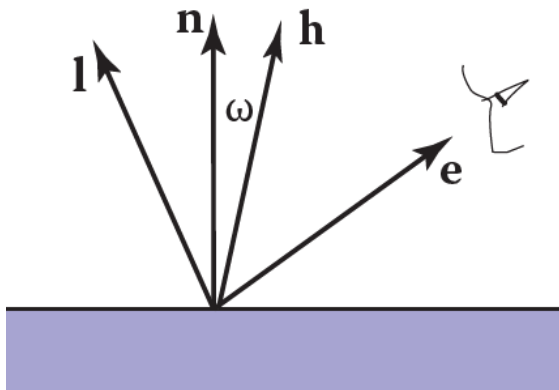


# Phong Shading (15/20)

- **angle  $(h, n)$**  is half of the angle between **angle  $(e, r)$** 
  - so the details will be slightly different.

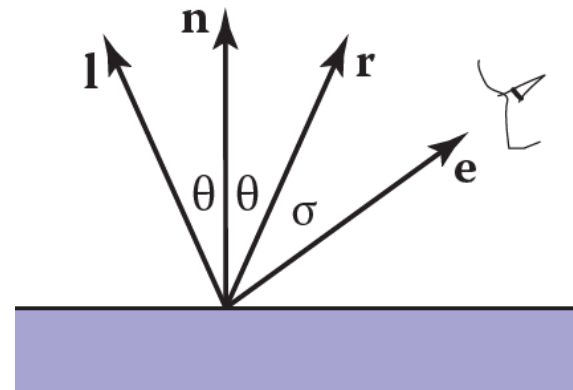
$$c = c_l(\mathbf{h} \cdot \mathbf{n})^p$$

$$\mathbf{h} = \frac{\mathbf{e} + \mathbf{l}}{\|\mathbf{e} + \mathbf{l}\|}$$



$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$

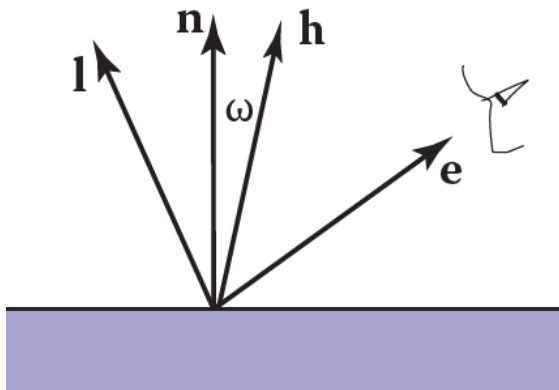


# Phong Shading (16/20)

- Cosine between  $\mathbf{n}$  and  $\mathbf{h}$  is positive for eye and light above the plane.

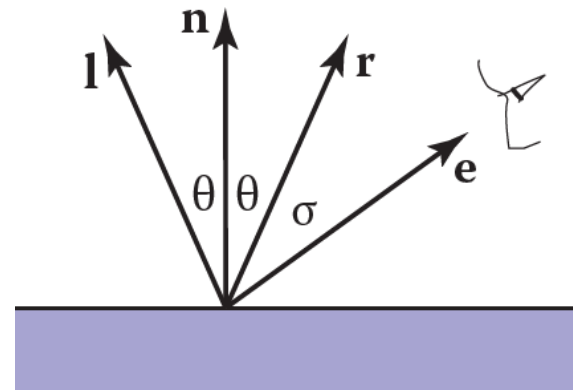
$$c = c_l(\mathbf{h} \cdot \mathbf{n})^p$$

$$\mathbf{h} = \frac{\mathbf{e} + \mathbf{l}}{\|\mathbf{e} + \mathbf{l}\|}$$



$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$



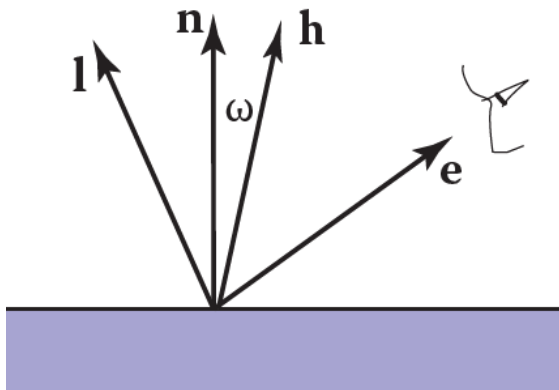


# Phong Shading (17/20)

- Square root and divide is needed to compute  $\mathbf{h}$ .

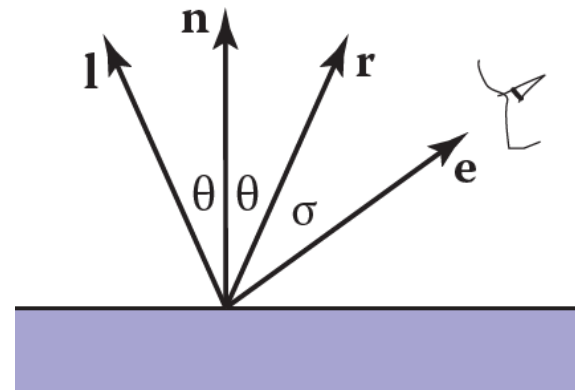
$$c = c_l (\mathbf{h} \cdot \mathbf{n})^p$$

$$\mathbf{h} = \frac{\mathbf{e} + \mathbf{l}}{\|\mathbf{e} + \mathbf{l}\|}$$



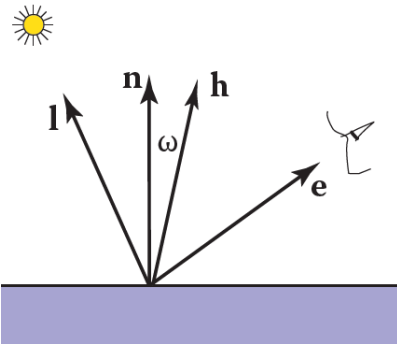
$$c = c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$\mathbf{r} = -\mathbf{l} + 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n}$$



# Phong Shading (18/20)

- In practice, we want most materials to have a diffuse appearance in addition to a **highlight**.

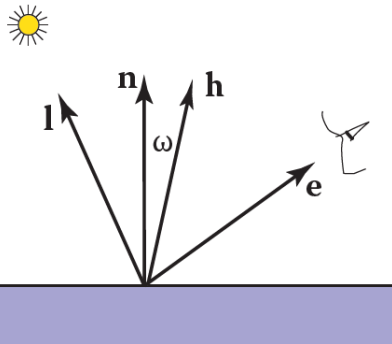


shade = ambient + diffuse

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l}))$$

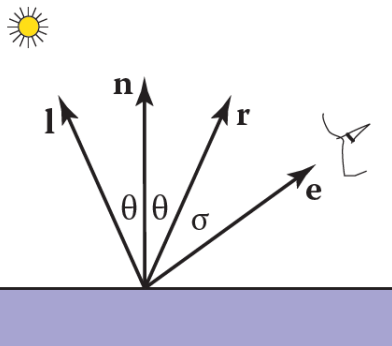
# Phong Shading (19/20)

- In practice, we want most materials to have a diffuse appearance in addition to a **highlight**.



shade = ambient + diffuse + **highlights**

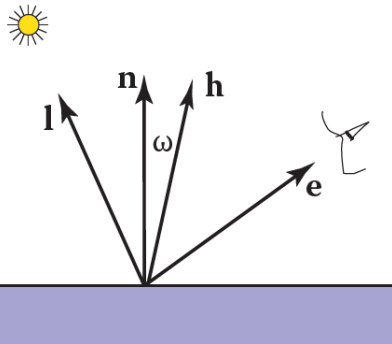
$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l (\mathbf{h} \cdot \mathbf{n})^p$$



$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

# Phong Shading (20/20)

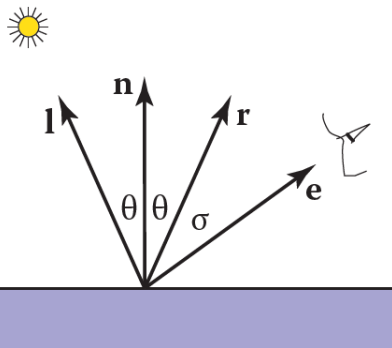
- If we want to allow the user to dim the highlight, we can add a control term  $c_p$ :



shade = ambient + diffuse + **highlights**

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l (\mathbf{h} \cdot \mathbf{n})^p$$

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l c_p (\mathbf{h} \cdot \mathbf{n})^p$$



$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

$$c = c_r (c_a + c_l \max(0, \mathbf{n} \cdot \mathbf{l})) + c_l c_p \max(0, \mathbf{e} \cdot \mathbf{r})^p$$

# Additional Reading

- 10.2.2: Surface Normal Vector Interpolation
- 10.3.2: Cool-to-Warm Shading

# Exercise

- Textbook ex. 1 and 2
  - Answer hint: *<https://www.quora.com/The-color-velvet-and-the-moon-are-poorly-approximated-by-diffuse-or-Phong-shading-Why>*